

Englisch

DGAP Voting Rights Announcement: Epigenomics AG
Release according to Article 40, Section 1 of the WpHG [the German Securities Trading Act]
with the objective of Europe-wide distribution

31.10.2018 / 10:43

Dissemination of a Voting Rights Announcement transmitted by DGAP - a service of EQS Group AG.

The issuer is solely responsible for the content of this announcement.

Notification of Major Holdings

1. Details of issuer

Name: Epigenomics AG
Street: Geneststraße 5
Postal code: 10829
Berlin
City: Germany
Legal Entity Identifier (LEI): 549300X1C4U862NDLN97

2. Reason for notification

Acquisition/disposal of shares with voting rights
 Acquisition/disposal of instruments
 Change of breakdown of voting rights
 Other reason:

3. Details of person subject to the notification obligation

Natural person (first name, surname): Ari Zweiman
Date of birth: 15 Apr 1972

4. Names of shareholder(s)

holding directly 3% or more voting rights, if different from 3.
Goldman Sachs & Co. LLC

5. Date on which threshold was crossed or reached:

24 Oct 2018

6. Total positions

	% of voting rights attached to shares (total of 7.a.)	% of voting rights through instruments (total of 7.b.1 + 7.b.2)	Total of both in % (7.a. + 7.b.)	Total number of voting rights pursuant to Sec. 41 WpHG
New	3.75 %	0 %	3.75 %	36021540
Previous notification	n/a %	n/a %	n/a %	/

7. Details on total positions

a. Voting rights attached to shares (Sec. 33, 34 WpHG)

ISIN	Absolute		In %	
	Direct (Sec. 33 WpHG)	Indirect (Sec. 34 WpHG)	Direct (Sec. 33 WpHG)	Indirect (Sec. 34 WpHG)
DE000A11QW50	0	1350000	0 %	3.75 %
Total	1350000		3.75 %	

b.1. Instruments according to Sec. 38 (1) no. 1 WpHG

Type of instrument	Expiration or maturity date	Exercise or conversion period	Voting rights absolute	Voting rights in %
Total				%

b.2. Instruments according to Sec. 38 (1) no. 2 WpHG

Type of instrument	Expiration or maturity date	Exercise or conversion period	Cash or physical settlement	Voting rights absolute	Voting rights in %
Total					%

8. Information in relation to the person subject to the notification obligation

Person subject to the notification obligation is not controlled nor does it control any other undertaking(s) that directly or indirectly hold(s) an interest in the (underlying) issuer (1.).

Full chain of controlled undertakings starting with the ultimate controlling natural person or X legal entity:

Name	% of voting rights (if at least 3% or more)	% of voting rights through instruments (if at least 5% or more)	Total of both (if at least 5% or more)
Ari Zweiman	%	%	%
683 Capital GP, LLC	%	%	%

683 Capital Partners, LP	3.75 %	%	%
Ari Zweiman	%	%	%
683 Capital Management, LLC	3.75 %	%	%

9. In case of proxy voting according to Sec. 34 para. 3 WpHG

(only in case of attribution of voting rights in accordance with Sec. 34 para. 1 sent. 1 No. 6 WpHG)

Date of general meeting:

Holding total positions after general meeting (6.) after annual general meeting:

Proportion of voting rights Proportion of instruments Total of both

% % %

10. Other explanatory remarks:

Date

31.10.2018 The DGAP Distribution Services include Regulatory Announcements, Financial/Corporate News and Press Releases.
Archive at www.dgap.de

Language:English

Company:Epigenomics AG

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End of NewsDGAP News Service

739847 31.10.2018

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