

## Englisch

DGAP Voting Rights Announcement: Epigenomics AG  
Release according to Article 40, Section 1 of the WpHG [the German Securities Trading Act]  
with the objective of Europe-wide distribution

05.11.2018 / 13:36

Dissemination of a Voting Rights Announcement transmitted by DGAP - a service of EQS Group AG.

The issuer is solely responsible for the content of this announcement.

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### Notification of Major Holdings

#### 1. Details of issuer

Name: Epigenomics AG  
Street: Geneststraße 5  
Postal code: 10829  
City: Berlin  
Germany  
Legal Entity Identifier (LEI): 549300X1C4U862NDLN97

#### 2. Reason for notification

Acquisition/disposal of shares with voting rights  
 Acquisition/disposal of instruments  
 Change of breakdown of voting rights  
 Other reason:

#### 3. Details of person subject to the notification obligation

Legal entity: Morgan Stanley  
City of registered office, country: Wilmington, Delaware, United States of America (USA)

#### 4. Names of shareholder(s)

holding directly 3% or more voting rights, if different from 3.  
Morgan Stanley & Co. LLC

#### 5. Date on which threshold was crossed or reached:

29 Oct 2018

#### 6. Total positions

	% of voting rights attached to shares (total of 7.a.)	% of voting rights through instruments (total of 7.b.1 + 7.b.2)	Total of both in % (7.a. + 7.b.)	Total number of voting rights pursuant to Sec. 41 WpHG
New	3.75 %	0.13 %	3.88 %	36021540
Previous notification	0.01 %	n/a %	0.01 %	/

## 7. Details on total positions

### a. Voting rights attached to shares (Sec. 33, 34 WpHG)

ISIN	Absolute		In %	
	Direct (Sec. 33 WpHG)	Indirect (Sec. 34 WpHG)	Direct (Sec. 33 WpHG)	Indirect (Sec. 34 WpHG)
DE000A11QW50	0	1350000	0 %	3.75 %
<b>Total</b>	1350000		3.75 %	

### b.1. Instruments according to Sec. 38 (1) no. 1 WpHG

Type of instrument	Expiration or maturity date	Exercise or conversion period	Voting rights absolute	Voting rights in %
Right of recall over securities lending agreements	at any time	at any time	45,919	0.13 %
		<b>Total</b>	45,919	0.13 %

### b.2. Instruments according to Sec. 38 (1) no. 2 WpHG

Type of instrument	Expiration or maturity date	Exercise or conversion period	Cash or physical settlement	Voting rights absolute	Voting rights in %
					%
			<b>Total</b>		%

## 8. Information in relation to the person subject to the notification obligation

Person subject to the notification obligation is not controlled nor does it control any other undertaking(s) that directly or indirectly hold(s) an interest in the (underlying) issuer (1.).

Full chain of controlled undertakings starting with the ultimate controlling natural person or X legal entity:

Name	% of voting rights (if at least 3% or more)	% of voting rights through instruments (if at least 5% or more)	Total of both (if at least 5% or more)
Morgan Stanley	%	%	%

Morgan Stanley Capital Management , LLC	%	%	%
Morgan Stanley Domestic Holdings, Inc.	%	%	%
Morgan Stanley & Co. LLC	3.75 %	%	%

## 9. In case of proxy voting according to Sec. 34 para. 3 WpHG

(only in case of attribution of voting rights in accordance with Sec. 34 para. 1 sent. 1 No. 6 WpHG)

Date of general meeting:

Holding total positions after general meeting (6.) after annual general meeting:

Proportion of voting rights	Proportion of instruments	Total of both
%	%	%

## 10. Other explanatory remarks:

Date

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Archive at [www.dgap.de](http://www.dgap.de)

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# epigenomics