

## **Information on data protection for shareholders, shareholder representatives and guests of the Annual General Meeting of Epigenomics AG**

Compliance with data protection regulations is a high priority for our company. In the following we would like to inform you about the collection of your personal data by us.

Responsible for the processing of personal data is:

Epigenomics AG  
Geneststraße 5, 10829 Berlin  
Telefon: +49 30 24345-0  
Fax: +49 30 24345-555  
E-Mail: [contact@epigenomics.com](mailto:contact@epigenomics.com)

We are supported by our data protection officer in fulfilling our data protection obligations.

Contact information for our data protection officer:

datenschutz nord GmbH  
Konsul-Smidt-Straße 88  
28217 Bremen  
[office@datenschutz-nord.de](mailto:office@datenschutz-nord.de)

### **Purposes and legal bases of the processing of your personal data**

Shares of Epigenomics AG are registered shares. In the case of registered shares, Section 67 of the German Stock Corporation Act stipulates that these must be entered in the Company's share register, stating the name, date of birth and address of the shareholder as well as the number of shares. As a shareholder of Epigenomics AG you also have the possibility to register for the Annual General Meeting in the shareholder portal. To register on the shareholder portal, we will send you a password and your shareholder number via your postal address.

In the Shareholder Portal you can register for the Annual General Meeting of Epigenomics AG, request admission tickets, grant proxies and authorize and instruct the proxies of the Company. In addition, Epigenomics AG offers you the option to register for future electronic delivery of AGM invitations and documents to your e-mail address. Under the menu items "My Profile" and "Shareholder Info" you can view your personal data (name, date of birth, address, e-mail address if applicable, shareholder number, number of shares, type of ownership) and, if you have registered for electronic delivery, update your e-mail address.

In addition, you can register for the Annual General Meeting in text form or grant a power of attorney.

We use the personal data received in accordance with Section 67 of the German Stock Corporation Act to update our share register in accordance with your information, to communicate with you, to enable you to participate in the Annual General Meeting, to exercise your rights and to use our shareholder portal.

We may also process the name, address, e-mail address, instructions, admission ticket numbers of the shareholder representative, type of ownership of the shares, number of shares as well as the name and address of the guests. The purpose of data processing is to organize, prepare and conduct the Annual General Meeting.

The legal basis for processing your personal data and the personal data of shareholder representatives is Article 6 Para. 1 lit. c) General Data Protection Regulation (GDPR) in conjunction with the German Stock Corporation Act. In addition, we process personal data on the basis of Article 6 Para. 1 lit. f) GDPR. The legitimate interest lies in the establishment and provision of the shareholder portal, in the electronic sending of documents and in enabling easy access to your data as well as the participation of guests in the Annual General Meeting.

### **Sources of your data**

On a regular basis, the banks involved in the acquisition and sale, as well as the custody of your shares, will forward the relevant information for the maintenance of the share register to Epigenomics AG on your behalf. This data is transmitted via Clearstream Banking AG, which acts as the central depository for the technical processing of securities transactions and the custody of the shares on behalf of the credit institutions.

### **Recipient of your data**

Epigenomics AG uses external service providers (so-called contract processors) for the administration and technical management of the share register as well as for the handling of the Annual General Meetings. These service providers and their sub-contractors are companies that have been approved by us: Service providers for the maintenance of the share register, IT service providers and service providers for the preparation and conduct of the Annual General Meeting. The service providers process the data exclusively on the instructions of Epigenomics AG and are obliged to comply with the applicable data protection regulations. In addition, we transfer your data to recipients, such as public authorities, in order to fulfill legal notification obligations. If you attend the Annual General Meeting, other shareholders may view the personal data entered in the list of participants, if any, in accordance with Section 129 of the German Stock Corporation Act. Within Epigenomics AG, only the employees who need the data to perform their duties have access to your data.

A transfer to third countries does not take place.

### **Data deletion**

Your data will be deleted as soon as they are no longer required for the above-mentioned purposes, unless we are required by law to provide proof and retain data for further storage. As a rule, we delete data recorded at the Annual General Meeting after three years. Your data from the share register will be kept for ten years after the sale of your share portfolio.

Furthermore, Epigenomics AG will only retain your personal data if this is necessary in connection with claims asserted against us (statutory limitation period of three or up to thirty years).

## **Your Rights**

As a data subject, you have the right to be informed about the personal data concerning you (Article 15 GDPR) and to have incorrect data corrected (Article 16 GDPR) or deleted if one of the reasons stated in Article 17 GDPR applies, e.g. if the data is no longer required for the purposes pursued. There is also the right to restrict processing if one of the conditions specified in Article 18 GDPR applies, in the cases specified in Article 20 GDPR the right to data portability and the right to lodge a complaint with a supervisory authority (Article 77 GDPR).

If we process your personal data on the basis of a consent given by you, you have the right to revoke this consent at any time with effect for the future. The lawfulness of the processing of your personal data until such revocation is not affected by this. The revocation must be addressed to the above-mentioned responsible person.

## **Right of objection**

**In addition to the aforementioned rights of data subjects, you have the right to object to the processing of your personal data (Article 21 GDPR). Please send your objection to [contact@epigenomics.com](mailto:contact@epigenomics.com).**